

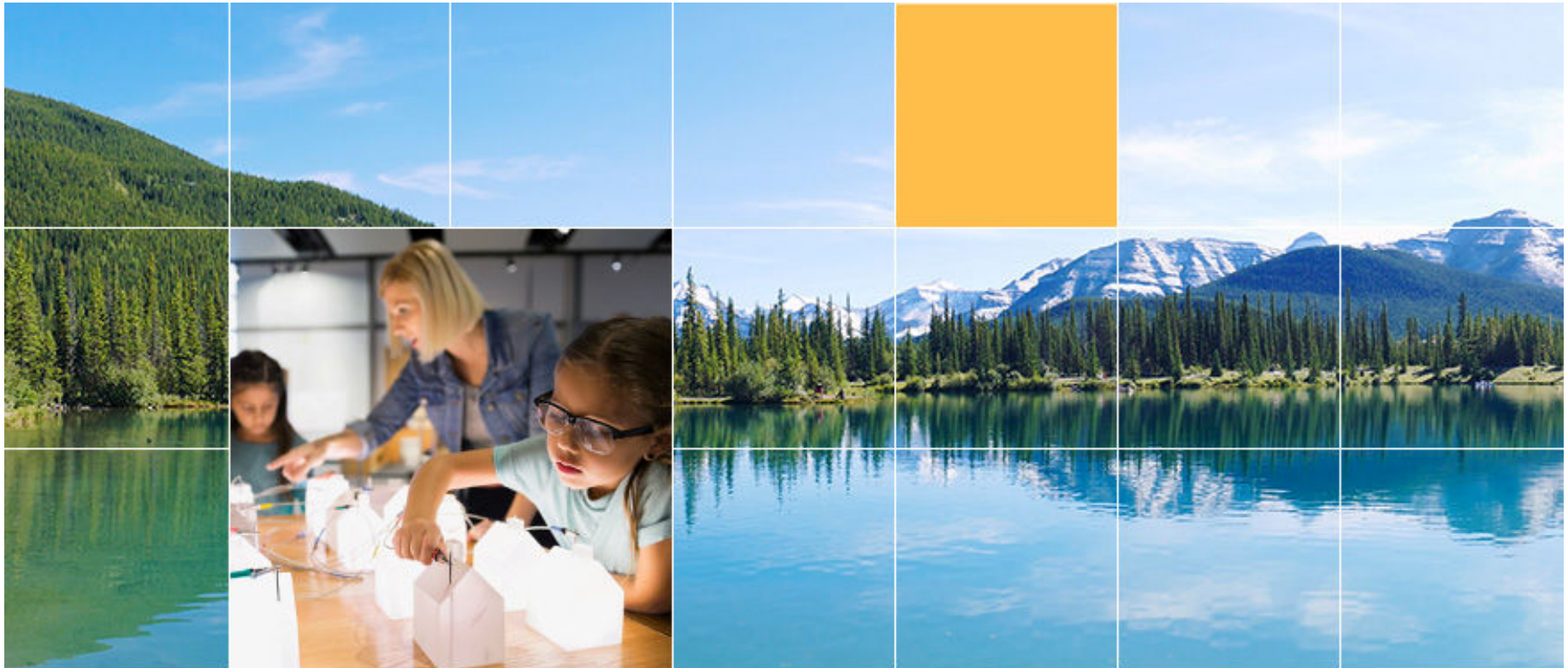


Canada Energy
Regulator

Régie de l'énergie
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Screenings: What they are, why we use them and what we've learned





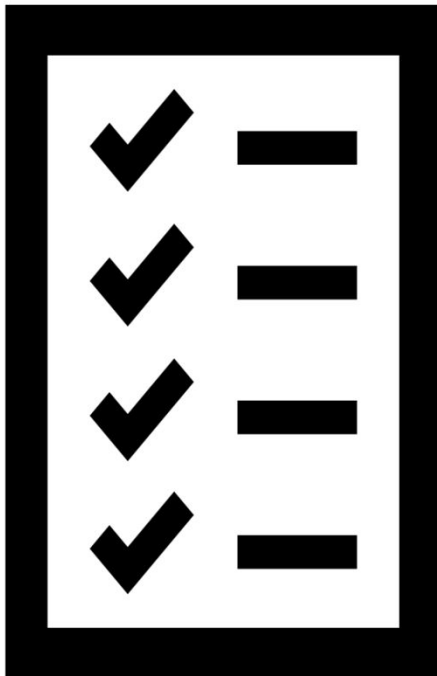
Today's presentation

1. What are Screenings?
2. Why are they useful?
3. What do we do with the results?
4. What we've learned
5. Tips
6. Next steps





What is a “Screening”?



- A standardized Information Request (IR)
- Not a compliance tool : an information gathering tool
- CER has developed DP Program, PA Program
 - ‘coming soon’ Agricultural Safety &DOC Monitoring
- Focus on key aspects of the DP program or a particular topic
- Allows companies to describe their program and activities and how they meet the requirements
- Responses are not posted on the CER website, but would be subject to ATIP



Screening basics

Each screening is divided into sections that reflect key program or topic areas

- Basic program information helps us understand how your program is adapted to your circumstances
- Each section lists relevant regulatory requirements, guidance and evaluation criteria
- DP IOs review and score the responses
- Responses are compared to see if gaps or trends emerge
- Results inform follow-up activities

Legend (Criteria)
1 to 2.99 = Follow-up not required
3 to 4.99 = Gaps noted, possible follow up (IR, email or phone call to obtain the required information)
5 to 6.99 = Gaps noted, follow up recommended
7 to 8.99 = Definite follow up recommended
9 = immediate follow up with company by IR, email or phone call to obtain any missing information



Benefits of the Screenings

- Allows the company to describe, in its own words, how it meets the regulations
- Companies can identify the most appropriate people within their organizations to prepare the response
- Helps CER DP group compare and prioritize the companies for other CVAs
- Helps new companies see how their programs line up to the requirements
- Flexible follow –up that could be:
 - A more specific IR or document request
 - An Information Exchange meeting
 - A field inspection
 - Nothing immediate
 - Identifies potential topics for workshop presentations, guidance documents or advisories



When do we use Screenings?

- To obtain broad details of a 'new to CER' company's DP program
- Provides CER a way to obtain baseline, high level information on the DP program or topic from regulated companies of all sizes
- To provide the background for subsequent CVAs
- To identify whether company programs had common potential gaps
- To understand how the company has implemented a particular management system process within its DP or PA Program
- Typically requested no more than once every 5 years (min) unless changes in regulation or facility owner

Screenings are **NOT** used to determine compliance, but to help us prioritize future work



Results – What have we learned

- Overall, responses indicate that companies conduct DP activities in line with the requirements.
- Many companies did not provide adequate descriptions of a DP Program as required, instead they described DP activities distributed between other programs like Integrity and Public Relations.
- Gaps were also noted in the Monitoring Compliance, Quality Assurance sections
- For PA Programs, gaps were noted in the descriptions of Program Assessment, Program Development and Agricultural Activities
- Several smaller companies have been identified for Information Exchange meetings to provide additional clarification on CER expectations



Results cont'd

- Many companies have accounted for severe weather events to some extent in their DP and PA programs
- Company responses indicate that more clarity, discussion or follow-up is needed in the areas of
 - Hazard Identification, analysis and control
 - Monitoring Compliance
 - Training and Contractor Oversight
 - Management Review



Tips for preparing your responses



- Provide the titles and sections of documents that you believe contain the most appropriate answers
- Ensure the right people have input to ensure the most accurate response
- Be precise when describing how your programs, documents and activities meet the requirements



Next steps

- Agricultural Safety & Depth of Cover Monitoring Screenings launching next week
- CER will continue to review, revise and improve our templates and evaluation tools
- May develop additional screening templates to examine trends or topics
- May use completed screenings as training material for new CER DP staff



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Thank you!

